DT16 Rec'd PCT/PTO U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 021645-000100US TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) 10/069,346 CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. August 24, 1999 August 24, 2000 PCT/NO00/00279 TITLE OF INVENTION A HYBRID RISER CONFIGURATION APPLICANT(S) FOR DO/EO/US Arne SELE, Magne NYGÅRD Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. Mais is a SECOND or SUBSEQUENT submission of items concerning a filing under 36 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 37(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b.  $\square$  has been communicated by the International Bureau c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). a. 

are attached hereto (required only if not communicated by the International Bureau). b.  $\square$  have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 

An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. A substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.

18. A second copy of the published international application under 36 U.S.C.

19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

page 1 of 2

Power of Attorney Statement under 3.73(b)

20. Other items or information:

Γ	I/S/ Application no. (if known, see		INTERNATIONAL APPLICATION N PCT/NO00/00279	0.	021645-000100	NUMBER OUS	
_					CALCULATIONS PTO USE ONLY		
<u>L</u>	21. The following	tees are submitted:					
	BASIC NATIONAL FEE (37 CFR 1.492(A) (1) – (5)):  Neither international preliminary examination fee (37 CFR 1.492) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1040.00						
	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search report prepared by the EPO of JPO\$890.00						
	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$740.00						
	International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)						
	International preliminary USPTO and all claims sa	tisfied provisions of PC	\$				
	Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00		
	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
	Total claims	- 20 =		x \$18.00	\$		
	Independent claims	-3 =		x \$84.00	\$		
	MULTIPLE DEPENDE	NT CLAIM(S) (if applied	cable) OF ABOVE CALCUL	+ 280.00	\$		
	•	TOTAL	Ψ				
	Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.						
•	SUBTOTAL =				s		
	Processing fee of \$130.00 for furnishing the English translation later than \( \bigcap 20 \) \( \bigcap 30 \) months from the earliest claimed priority date (37 CFT 1.492(f).				\$		
	TOTAL NATIONAL FEE =						
÷.	Fee for recording the en accompanied by an appr	closed assignment (37 C	\$40.00				
	FREY1 00000170 20		TOTAL FEES ENC	CLOSED =	\$170.00		
06/20/2002 01 FC:154	130.00 CH	2100			Amount to be refunded:	\$	
01 FC:134			•		charged:	\$	
	<ul> <li>a.  A check in the amount of \$ to cover the above fees is enclosed.</li> <li>b.  Please charge my Deposit Account No. 20-1430 in the amount of \$170.00 to cover the above fees.</li> </ul>						
	b. 🛛 Please charg	ge my Deposit Account	No. $20-1430$ in the amount of	φ <u>170.00</u> 10	be required or credit or	NV	
	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any						
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
	NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  SIGNATURE  DATE						
		PONDENCE IO:		SIGNATU	FE A	DATE	
	J. Georg Seka				, Saka		
	Townsend and Townse			J. Georg	<u> эека</u>		
	Two Embaradero Cent	ter, Suite 800		NAME			
	San Francisco, CA. 94	4111					
	<u>24,491</u>			TION NI IMPED			
	REGIST			REGISTRA	ATION NUMBER		

## 021645-000100US V6S



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT United States Patent and Trademerk Office Washington, D.C. 20231

ATTY, DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. 021645-000100US Ame Sele 10/069,346

INTERNATIONAL APPLICATION NO. PCT/NO00/00279 PRIORITY DATE

J. Georg Seka Towsend and Towsend and Crew Two Embarcadero Center Suite 800 San Francisco, CA 94111-3834

I.A. FILING DATE 08/24/1999 08/24/2000 **CONFIRMATION NO. 7814** 

**371 FORMALITIES LETTER** 

OC000000007961214

Response Due 6/26/03

M5N1-

Date Mailed: 04/26/2002 NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions

of 37 CFR 1.136(a).

# SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

## PATRICIA A BOOKER

Telephone: (703) 305-3738

# PART 1 - ATTORNEY/APPLICANT COPY

PAR	(1 1 - X1101///21/	
	-0.170	ATTY, DOCKET NO.
	INTERNATIONAL APPLICATION NO.	021645-000100US
U.S. APPLICATION NUMBER NO.	PCT/NO00/00279	021043 000101
10/069,346	101/1.034	

FORM PCT/DO/EO/905 (371 Formalities Notice)